

## FACTS

WHAT DOES OPTIMUM QUANTVEST CORPORATION  
DO WITH YOUR PERSONAL INFORMATION?

## Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

## What?

The types of information we collect and share depends on the product or service you have with us. This information can include:

- Social Security number (or Tax ID number) and wire transfer instructions
- Account balances and account transactions
- Investment experience and risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

## How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the Optimum Quantvest Corporation chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does Optimum Quantvest Corporation share?	Can you limit this sharing?
<b>For our everyday business purposes –</b> Such as to process your transactions, maintain your account(s), or to respond to court orders and legal investigations	Yes	No
<b>For our marketing purposes –</b> To offer our products and services to you	No	Yes
<b>For joint marketing with other financial companies</b>	No	We don't share
<b>For our affiliates' everyday business purpose –</b> Information about your transactions and experiences	No	Yes
<b>For our affiliates' everyday business purpose –</b> Information about your creditworthiness	No	We don't share
<b>For our affiliates to market to you</b>	No	We don't share
<b>For nonaffiliates to market to you</b>	No	We don't share

## Questions?

Call 263-381-5677 or go to [www.optimumgam.com](http://www.optimumgam.com)

Who we are	
Who is providing this notice?	Optimum Quantvest Corporation
What we do	
How does Optimum Quantvest Corporation protect my personal information?	<p>To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.</p> <p>We maintain physical, electronic, and procedural safeguards to protect and dispose of client information. Our client website is secure. Access to client non-public personal information is limited to authorized personnel.</p>
How does Optimum Quantvest Corporation collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>Enter into an investment advisory contract or give us your contact information</li> <li>Seek advice about your investments or make deposits or withdrawals from your account</li> <li>Provide account information</li> </ul> <p>We also collect your personal information from other companies.</p>
Why can't I limit sharing?	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>Sharing for affiliates' everyday business purpose-information about your creditworthiness</li> <li>Affiliates from using your information to market to you</li> <li>Sharing for nonaffiliates to market to you</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing.</p>

Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <p>Affiliates we may share your information with are Optimum Holdings Inc., Optimum Group Inc., Corporation Optimum, Optimum Asset Management Inc., Optigam Inc., and Gamopti Inc.</p>
Nonaffiliates	Companies not related by common ownership or control. They can be financial and nonfinancial companies.
Joint Marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <p>Optimum Quantvest Corporation does not jointly market.</p>