

Optimum Quantvest Corporation
Form CRS - Customer Relationship Summary (Updated June 17, 2021)

Introduction

Optimum Quantvest Corporation (OQC, we, our or us) is registered with the Securities and Exchange Commission as an investment adviser. We want to make sure you understand that our investment advisory services and fees differ from brokerage services and fees. It is important for retail investors to understand these differences. Free and simple tools are available to research firms and financial professionals at www.investor.gov/CRS, which also provides educational materials about investment advisers, broker-dealers and investing.

What investment services and advice can you provide me?

We offer investment advisory services to retail investors. Our principal advisory service is portfolio management. We also serve as a portfolio manager to wrap fee programs sponsored by third parties.

If you open an advisory account with us, we will assess your current financial situation, existing resources, goals and risk tolerances (or in wrap fee programs, we will have the wrap fee program sponsor assess this information for us). Based on this information, and as part of our standard service, we will initiate a portfolio of investments on your behalf that is continuously monitored and if necessary rebalanced to meet your changing financial needs, goals and objectives. You will have the ability to impose reasonable guidelines and restrictions on your portfolio of investments.

We provide investment advisory services on a discretionary basis, which means that we do not need to contact you in advance when buying or selling securities in your account. You will sign an investment management agreement giving us this authority, which will remain in place until you or we terminate the relationship. We require a minimum initial account size depending on the strategy of your account and whether we manage the account directly or through a wrap fee program. We provide the above investment advisory services for a fee based on the value of cash and investments in your account. In certain cases, we require a minimum annual fee. We also provide model portfolio to various third-party investment advisory platforms. In this role, our responsibility is limited to timely model updates and does not include investment advisory services described above. For more detailed information about our advisory services, please see our Form ADV 2A on our website at <https://www.optimumquantvest.com>. Item 4 of Form ADV 2A provides more information about our advisory services, item 5 about our fees and item 7 about account types and minimum sizes.

Given my financial situation, should I choose an investment advisory service? Why or why not?

- **How will you choose investments recommended to me?**
- **What is your relevant experience, including your licenses, education and other qualifications? What do these qualifications mean?**

What fees will I pay?

The principal fees a retail investor will pay us is an ongoing fee assessed at the end of each calendar quarter based on the value of investments, including cash, in the advisory account. Our fees are quoted in annual terms but are invoiced quarterly in arrears based on the market value of your account under our management. Our fees are negotiable. Our fee schedule is tiered based on break point asset levels. The fee tier starts at 0.40% for fixed income strategies and at 0.50% for our equity strategies. We also offer performance-based fees, which are limited to qualified clients as per the applicable regulation. Please see Item 5 of our Form ADV 2A at <https://www.optimumquantvest.com> for more information about our fees.

In wrap programs, in addition to our fee, which starts at 0.25%, the wrap fee sponsor will charge you additional fees associated with such program such as transaction costs and broker-dealer or bank custody fees. Typically, in total, these fees are higher than a typical asset-based advisory fee. Usually, total fees will be debited from your wrap account by the wrap program sponsor quarterly in advance.

You should understand that the larger the market value of your account under our management, the larger the fee amount you will pay us. Therefore, we have an incentive to encourage you to increase the assets in your account. You could also pay other fees imposed by your bank or broker-dealer for holding your accounts or for transactions that occur in your accounts. Certain investments, such as mutual funds or exchange traded funds charge additional fees that will reduce the value of our investments over time.

You will pay fees and costs whether you make or lose money on your investments. Fees and costs will reduce any amount of money you make on your investments over time. Please make sure you understand what fees and costs you are paying. More detailed information about our fees and other costs can be found in our Form ADV Part 2A, Item 5 and Item 6 which can be accessed at <https://www.optimumquantvest.com>.

Conversation Starter:

- **Help me understand how these fees and costs might affect my investments. If I give you \$10,000 to invest, how much will go to fees and costs, and how much will be invested for me?**
- **How do you determine what fees I will be charged?**

What are your legal obligations to me when acting as my investment adviser? How else does your firm make money and what conflicts of interests do you have?

When we act as your investment adviser, we have to act in your best interest and not put our interest ahead of yours. At the same time, the way we make money creates some conflicts with your interests. You should understand and ask us about these conflicts because they can affect the investment advice we provide you. For example, we charge an investment advisory fee based on the assets we manage and therefore have an incentive to encourage you to add assets to the account managed by us to increase the fee amount you pay us. Similarly, performance-based fee arrangements can create an incentive for us to recommend investments that are riskier or more speculative than those which would be recommended under a different fee arrangement. Additional information about conflict of interests that can arise as part of our relationship can be found in item 11 of our Form ADV 2A at <https://www.optimumquantvest.com>.

Conversation Starter:

- **How might your conflict of interests affect me, and how will you address them?**

How do your financial professionals make money?

Our financial professionals are employees of the firm and are paid a fixed salary and receive benefits such as paid vacations and health insurance. They may also receive an annual bonus subject to the overall performance of the firm as well as their own contributions toward the overall success of the firm.

Do you or your financial professionals have legal or disciplinary history?

No, our firm and/or financial professionals do not have any disciplinary history. Please visit <https://www.investor.gov/CRS> for a free and simple search tool to research our firm or our financial professionals.

Conversation Starter:

- **As a financial professional, do you have any disciplinary history? For what type of conduct?**

Additional information

You can find additional information about our firm's investment advisory services on the SEC's website at <https://adviserinfo.sec.gov/> by searching CRD # 301469. You may also contact us at 203-425-1420 for additional information or for a copy of this disclosure.

Conversation Starter:

- **Who is my primary contact person? Is he or she a representative of an investment adviser or a broker-dealer? Who can I talk to if I have concerns about how this person is treating me?**